

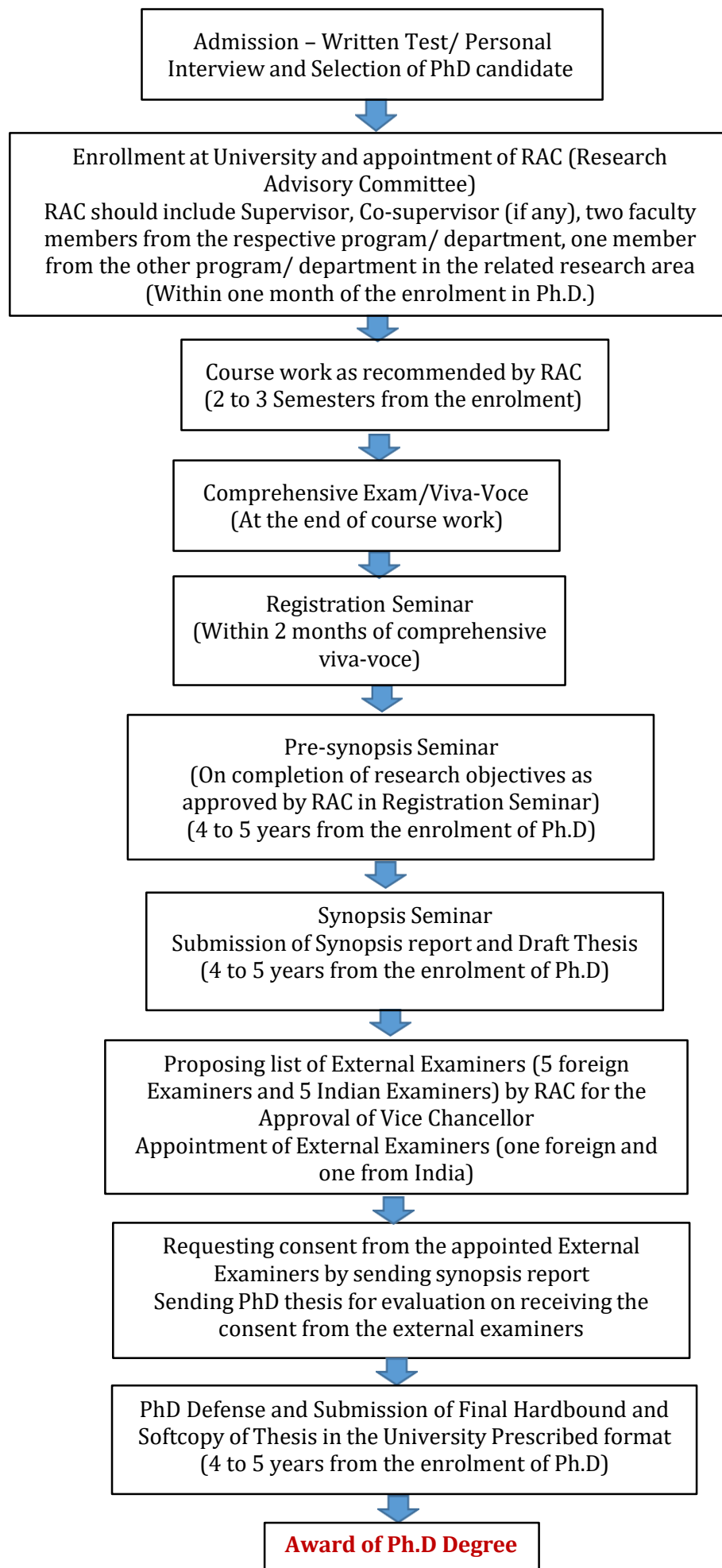
Regulations for Sponsored Ph.D. Programs (for Working Professionals)

(Approved in Second Meeting of Academic Council of GSV, dt. 20.08.2024)



GATI SHAKTI VISHWAVIDYALAYA
Central University, Sponsored by Ministry of Railways
Lalbaug, Vadodara - 390 004, Gujarat, India
VADODARA, GUJARAT

PHD PROCESS FLOW CHART AT GSV



1. INTRODUCTION

The aim of the Ph.D. Programs in Gati Shakti Vishwavidyalaya (GSV) is to develop graduates having good knowledge and research training in classical and emerging areas in transportation and logistics. GSV hosts Ph.D. program in all areas of Engineering, and Management. The Ph.D. Program is based on three tenets of (1) *Semester System*, (2) *Credit System*, and (3) *Relative Grading*. This document sets-out the procedures and requirements of the Doctoral Programs of study at GSV. The Ph.D. program will be administered by a duly constituted Research Advisory Committee (RAC).

2. ACADEMIC SESSION

Each academic year (*July to June*) consists of two academic semesters. Each semester is for about sixteen (16) weeks with one week of break for students, making it about 15 working weeks in each semester. Excluding the days of the examinations, the total number of days of instructions in a Semester is at least 80. Normal schedule of these semesters is given in table below.

Schedule of the Academic Session

<i>S.No.</i>	<i>Segment of the Year</i>	<i>Duration</i>
1	Semester 1	Fourth week of July – First week of November
2	Winter Vacation	Third week of December – First week of January
3	Semester 2	Second week of January – Last week of April
4	Summer Vacation	Second week of May – Third week of July

3. ACADEMIC CALENDAR

It gives the exact dates of all important events during the Academic session, such as orientation, registration, commencement of classes, adding and dropping of courses, submission of documents, examinations, submission of grades, vacation, mid-semester break etc. This calendar shall be approved by the Academic Council.

4. ADMISSIONS

The process of admission to the Ph.D. Programs offered by the University is conducted during the months of March-May every year. PhD program is offered to working professionals only.

Candidate admitted in this category shall be a full-time employee, and sponsored by Industry, R & D organizations, Laboratories, Government/ Private Institutions, NGOs, Banking Institutions, and other Academic Institutions where quality infrastructure for advance research is present. Candidate needs to submit a certificate of availability of quality infrastructure for advance research duly signed by the respective Head of the institution/ organization at the time of admission. The student shall not be eligible for any financial assistance from the University. The student shall be allowed to carry out a part or all of her/his research at the parent Organization/Institution under the supervision of a *Supervisor from GSV*. The supervisor should have earned Ph.D. Degree herself/himself in the area related to the proposed research work of the student.

4.1 Eligibility for Admission to PhD Program for Working Professionals

The eligibility criteria prescribed in this section are the absolute minimum.

Ph.D. Program in an Engineering

- a) Candidates with a Master's degree in Engineering/ Science, namely M.Tech, M.E., M.Sc. (Engineering/ Science) or MS with at least 60% marks or at least 6.5/10 Cumulative Performance Index (CPI) or Cumulative Grade Point Average (CGPA). Such students are required to have at least 60% marks or 6.5/10 CPI or CGPA in Bachelor's degree. (50% marks or at least 5.5/10 CGPA/CPI for the reserved category candidates). OR
- b) Candidates with a Bachelor's degree in Engineering with B.Tech/BE/B.Sc. (Engineering), 4-year degree with at least 80% marks or at least 8/10 CPI or CGPA.

Ph.D. Program in a Management

- a) Candidates with a Master's degree in Management, Humanities, Social Sciences, Sciences, Engineering or allied areas with at least 60% marks or at least 6.5/10 Cumulative Performance Index (CPI) or Cumulative Grade Point Average (CGPA). Such students are required to have at least 60% marks or 6.5/10 CPI or CGPA in Bachelor's degree. (50% marks or at least 5.5/10 CGPA/CPI for the reserved category candidates). OR
- b) Candidates with a Bachelor's degree in Engineering with B.Tech/B.E./B.Sc. (Engineering), 4-year degree with at least 80% marks or at least 8/10 CPI or CGPA.

4.2 Selection Procedure

- a) The selection process shall involve two stages i.e. Admission Test and Interview. [Candidates with valid fellowship/scholarship in UGC-NET/UGC- CSIR NET/GATE/CEED need not appear in the GSV Admission Test].
- b) The initial screening will be done based on the Admission Test and the candidates scoring minimum 50% marks in the Written Test will be shortlisted for Interview.
- c) The merit list will be prepared based on the composite scores of the Admission Test (50%weightage) and Interview (50% weightage).

The candidates, who are offered admission, will be informed within 15 days of the interview process. The candidates whose selection is approved by the Chairman (Academic Council), will be admitted to the Ph.D.Program at GSV after paying the prescribed fee for the Program.

4.3 Cancellation of Admission

Students admitted provisionally or otherwise to a Ph.D program shall submit copies of their mark sheets, Degree certificates, etc. of the qualifying examination and other documents by the last date specified for the purpose. in the Academic Calendar. RAC reserves the right to cancel the admission of any student, if

- a) she/he fails to submit the prescribed documents demonstrating her/his minimum academic qualification for admission, by the specified date or to meet other stipulated requirement(s); and
- b) it is found (at any time later) that the student had provided some false information or suppressed relevant information while seeking admission.

- c) She/he does not pay the fees in the prescribed duration.

5. SUPERVISOR ALLOCATION

- a) The allocation of the supervisor will be based on the research interest of the student and the availability of a faculty supervisor to supervise such a work. University reserves the right to allocate PhD supervisor on recommendation of Dean (Academics) and approval of the Vice Chancellor. Once the supervisor is allocated by the University, there shall not be any change in the supervisor excluding the exceptional circumstances under which the process for change of supervisor may be initiated with the prior approval of the Research Advisory committee. Normally, a faculty can have a maximum of 5 students under their supervision at any given point of time.
- b) If the research work chosen requires more than one Supervisor, the student or Supervisor can make a request with justification along with the consent of the proposed Supervisor for consideration by the Research Advisory Committee (RAC). Appointment of Co-Supervisor should be completed within one and half years of admission to the Ph.D. Program. The Co-Supervisor can be from a University, Industry or a Laboratory outside GSV. The proposed Co-Supervisor should have adequate education and research experience to supervise the research at PhD level. The appointment of Co-supervisor shall be made by the Chairman, Academic Council, GSV on the recommendation of RAC.

6. RAC CONSTITUTION

The selected candidates will have a Research Advisory Committee (RAC) to monitor and review the periodic research progress of the student. RAC will include Supervisor, Co-supervisor/ External Guide (if any), two faculty members from the respective program/ department, one member from the other program/ department in the related research area. A person with the suitable educational and research background from the parent company may be considered as the Co-Supervisor/ External Guide on the recommendation of Dean (Academics) and approval of the Chairman (Academic Council). Faculty Supervisor will act as Chairman, RAC and propose the members for RAC as per the guidelines given above for the recommendation of Dean, Academics and approval of the Vice Chancellor. RAC will function under the overall guidance of the Dean, Academics.

7. REGISTRATION

PhD students are required to register each semester on the dates specified in the Academic Calendar, after payment of the prescribed fee. Ph.D students must continue to register till they submit their Thesis.

If for any compelling reason, like illness, a student is unable to register on the day of registration, she/he can register before the late registration date of registration specified in the academic calendar. If a student seeks prior permission to register late on other valid grounds, RAC may allow late registration. Beyond the date of late registration, no student will be allowed to register.

8. COURSE WORK

Ph.D. students are required to undergo recommended coursework by the RAC. The norms for the same are listed as below.

- a) The course work will be a minimum of 16 credits (for candidates with Master's degree) and 20 credits (for candidates with Bachelor's degree) with due consideration to special requirements if any. This should include a compulsory course on "Research Methodology".
- b) Student is expected to complete his/her course work during the first three semesters of his enrolment.
- c) A Ph.D. scholar must obtain a minimum of 7.00 CGPA in the course work. The grade obtained in the Course work must be "C" or above. Student is required to repeat the course if he/she receives less than "C" grade.
- d) A student must obtain a minimum 30% marks (15 marks out of 50) in the End-Term Semester Examination in the particular course to pass the course. Irrespective of the marks secured in mid-term exam and continuous evaluation, minimum 30% marks (15 marks out of 50) in End-Term Exam is mandatory.
- e) A plan of study shall be prescribed by the RAC which may include besides the available courses in the University, courses offered under SWAYAM/NPTEL. The grades assigned at the end of the course by SWAYAM/NPTEL will be directly transferred to the student's coursework.
- f) Attendance Requirements: A student should have full attendance in each course. Unless the student takes leave of absence for valid reasons, the student has to attend every lecture, tutorial, or lab session. If a student is absent without any reason, the Instructor would send him/her a warning by email. If the student's attendance falls below 75% then the Instructor will notify the Academic Section. If the student still does not attend the classes regularly, then the Academic Council can deregister the student from the course. Once a student is deregistered from a course, that course will not show up in the grade-card of the student.
- g) Academic regulations of GSV (grading, evaluation, attendance, etc.) for the UG and PG programs will be applicable for the course work requirements of Ph.D student.
- h) A *Grade Card* shall be issued to each student at the end of each semester (comprising course work), and a *Transcript* at the end of the Ph.D. program.

9. RESEARCH EXECUTION

- a) Submission of Semester progress report through seminar and presentation will be mandatory.
- b) The students admitted under Sponsored PhD program which is designed for working professionals need to ensure that their sponsoring organization (industry/ academic institution/laboratory) has the availability of quality infrastructure for advance research.
- c) The student shall have to spend at least one week per semester at GSV for interaction with his/her supervisor. During this period, the student may stay at appropriate hostel/ guest houses of the University on payment basis, subject to availability.
- d) Student will be required to appear for a comprehensive examination (viva-voce only) before registration seminar.

9.1 Comprehensive Examination

After completing the coursework, the Ph.D. student is required to appear for a *Comprehensive Examination*, which tests the breadth of knowledge and ability to do research. Comprehensive Examination will consist of an oral examination. In special circumstances, a student may also be allowed to appear for *Comprehensive Examination before completing the coursework, or after the proposed timeline* on the recommendation of the Supervisor and RAC to the Chairman, Academic Council.

The Comprehensive Examination shall be conducted by the RAC. The syllabus for the comprehensive exam should be defined by the RAC based on the research topic and the course work completed by the student. The student shall demonstrate satisfactory performance in the Comprehensive Examination; else the student shall be given one more chance to appear for the Comprehensive Examination after a period of two months. If the student fails to perform satisfactorily in the 2nd attempt also, the registration of the student in the Ph.D. program shall be liable to be cancelled. Normally, the student is expected to successfully complete the Comprehensive Examination by the end of the course work (before the end of 4th semester from the date of Ph.D. enrolment), failing which the registration of the student in the Ph.D. program stands cancelled.

9.2 Registration Seminar

After the successful completion of the *Comprehensive Examination*, the student is required to give a *Registration Seminar* based on a thorough survey of literature in the proposed research area. The seminar should define the scope and roadmap of the research. This Seminar must be given within two months after the comprehensive examination. The Registration Seminar given by the student shall be evaluated by the RAC; and if the performance is found to be unsatisfactory, the student shall present the Registration Seminar again, after 2 months.

9.2 Monitoring of Research Progress

It is mandatory for the student to submit progress report at the end of each semester duly signed by RAC members. The progress will be reviewed by RAC as satisfactory or unsatisfactory.

From the seventh semester onwards, the student will be awarded a satisfactory grade only if he/she reports any of the quantifiable research outcome as given below:

- a) student has a research publication in the peer reviewed (SCI/SCOPUS indexed) journal
- b) student has a manuscript under review/ revision, not a desk rejection
- c) student Patent application under consideration
- d) a student has developed some experimental set-up/ working model, and/ or reported significant work in manuscript preparation, and/ or patent application preparation or any other substantial contribution/ work done towards his/ her PhD work.

If the student has achieved nothing listed above, the student can still be given a satisfactory grade in the 7th semester and beyond if the RAC finds the student's performance satisfactory. However, the student should provide a detailed justification, which the RAC should endorse. This will be treated as an exception. However, the student will earn a satisfactory grade from the 7th semester onwards, if the performance is quantifiable as listed from (a) through (d) above.

9.3 Pre-Synopsis Seminar

- i) On completion of the research work for the Ph.D., the supervisor shall organize a pre-synopsis seminar wherein the Ph.D. scholar shall present his/her work. The RAC shall assess the work and if not satisfied with the quality of the work, the Ph.D. student shall be advised to do further work and deliver a fresh seminar after a definite period specified by the RAC.
- ii) The exact title of the thesis shall be as approved by the RAC after the pre- synopsis seminar.
- iii) If the RAC is satisfied with the pre-synopsis seminar of the Ph.D. scholar, the RAC will recommend for synopsis seminar.

9.4 Synopsis Seminar

- i) Ph.D. student will give the synopsis seminar incorporating the suggestions given during pre-synopsis seminar.
- ii) Student is expected to show the draft thesis to RAC during synopsis seminar.
- iii) If the RAC is satisfied with the synopsis seminar of the Ph.D. student, the RAC shall forward the synopsis report alongwith the proposed panel of external examiners to the Registrar for the approval of Vice-Chancellor.

9.5 Thesis Submission and Defense

The student shall submit the soft copy (in pdf) of Ph.D. Thesis within two months of the Synopsis Seminar for sending it to the External Examiner. Student must submit a Plagiarism check report along with thesis in standard software such as turnitin endorsed by the supervisor/co-supervisor. Thesis with Similarity of more than 15% will not be accepted. Prior to submission of the Thesis, the student should have preferably at least one paper either published or accepted for publication in a peer reviewed (SCI/SCOPUS indexed) journal. Thesis should meet the desired expectation for the award of Ph.D. degree in-terms of quantum and quality both and meagre publication of research paper cannot be considered sufficient for the submission of PhD thesis.

Further, the Supervisor(s) shall propose to the Dean, Academics a list of 10 examiners consisting five experts from abroad and five experts from India, who have the expertise in the area in which the student undertook research. The recommendations of Dean, Academics will be submitted to the Vice Chancellor for the selection of the examiner. For selecting an examiner, the following guidelines should be followed:

- a) The examiner should be a full-professor;
- b) No two proposed examiners shall be from the same organization; and
- c) The examiners can be Faculty Members or Scientists, of repute.
- d) The list provided by the Ph.D. Thesis Supervisor is not binding on the Chairman, Academic Council (AC) to select from. The Chairman, AC may identify examiners beyond in the list.
- e) The Chairman, Academic Council shall select three examiners from the proposed list, of which at least one should be an examiner from outside India. In addition, the Supervisor(s) shall be the examiner(s) of the Thesis. The consent of these Faculty Members shall be taken before sending the Thesis to them.
- f) The Indian examiners should attend in person the Thesis Defense.
- g) The examiners shall submit a written report on the Thesis within a period of two months from the date of receipt of the Thesis to the Office of Registrar.
- h) The examiners' reports will be shared with the RAC for the review and necessary action.
- i) If two examiners declare the Thesis as acceptable (with or without minor modifications or queries to be addressed at the time of defense) for award of Ph.D. degree, the Chairman, RAC shall arrange the Thesis Defense on approval of the Dean, Academics.
- j) If an examiner suggests revision and resubmission of the Thesis, the student shall resubmit the Thesis after the revision within 3 months under the guidance of the Supervisor(s). On acceptance of the revised Thesis by the Examiners, the student will be permitted to defend the Thesis.
- k) If any two examiners (out of the three) reject the Thesis for the award of the Ph.D. degree, the Chairman, RAC shall report the matter to the Chairman, AC through Dean, Academics for further consideration. The Chairman, AC shall refer the Thesis to another examiner under special circumstances. In case the new examiner also rejects the Thesis, then the registration of the student shall stand cancelled in the Ph.D. program.

RAC and at least one Examiner from within India shall evaluate the Thesis Defense of the Ph.D student. The physical presence of at least one Indian examiner at the time of defense is mandatory. The recommendation of RAC shall be forwarded to the Dean (Academics). Dean (Academics) shall recommend to the Academic Council to consider the award of Ph.D. degree to the student.

The Ph.D. scholar shall submit the final version of Ph.D. thesis in hard bound form (four copies) and plagiarism report to the Academic Office in the University prescribed format. The main cover page of the Thesis will only have the Title of the Thesis, Name of the candidate, Year of completion and Name of the University. The thesis should be double side printed on A4 sized executive bond paper.

10. DURATION OF PHD PROGRAM

The minimum duration of PhD program for the working professionals is 4 Year and the maximum period is 8 Years from the date of enrolment in the program.

11. TERMINATION

Termination from Ph.D. Program

A student registration may be terminated from the Ph.D. Program on recommendation of RAC and Academic Council, if the student

- a) semester progress report comes under “Not Satisfactory” category for more than three times;
- b) does not comply with the attendance policy as stated above during the course work;
- c) fails to report and register by the last date of registration without a bonafide reason;
- d) violates the code of conduct including inappropriate behaviour with the course faculty, RAC members and admin staff of the University.

Appeal against Termination

A student, who is terminated from the Ph.D. Program, can appeal for reconsideration to the Academic Council (EC) through the office of the Vice Chancellor. In the appeal, the student is expected to give reasons for his/her poor academic performance and/or why the termination should be reconsidered. The EC shall take a final decision after considering all the available inputs/facts. But, the EC will not entertain any second further appeal until and unless substantial additional information is brought to its notice.

12. TEMPORARY WITHDRAWAL

Normally, a student is not allowed to withdraw from the Ph.D. program temporarily and is expected to complete the program without a break. But, for genuine reasons and/or in exceptional circumstances, a student may be allowed to withdraw temporarily on leave of absence at the discretion of Academic Council for an extended period. Such leave of absence(s) shall ordinarily not exceed two semesters with or without break during the entire period of the Ph.D. Program.

13. PAYMENT OF FEES AND DUES

The student shall pay all admissible fee and dues, and seek a *NoDUE CERTIFICATE* from the University, on completion of the Ph.D program.

14. NO CASE OF DISCIPLINARY ACTION

The student shall not have any case of indiscipline pending against her/him for the consideration of award of degree.

15. ISSUING A PROVISIONAL CERTIFICATE

A student who has defended the thesis successfully shall be awarded the provisional degree certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations.

16. AWARD OF DEGREE

A student who has defended the thesis successfully shall be awarded the degree in the Convocation on recommended by the Academic Council and approval of the Executive Council.

17. DEPOSITORY WITH INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Higher Educational Institution concerned shall submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.

18. WITHDRAWAL OF DEGREE

Under extremely exceptional circumstances, where gross violation of the graduation requirements and ethical considerations is detected at a later stage, the Academic Council may recommend to the Executive council for the withdrawal of the Ph.D. degree already awarded to the student.

19. AMENDMENTS

Notwithstanding anything contained in this document, the Academic Council/ *Executive Council* of GSV reserves the right to modify/amend without notice, the curricula, procedures, requirements, and rules pertaining to its Ph.D. Programs.

PHD FORMS

Form 1	Enrolment and Declaration
Form 2	Appointment of Supervisor
Form 3	Course Registration Form
Form 4	Report Form on Comprehensive Viva
Form 5	Report Form on Semester Progress
Form 6	Report Form on Registration Seminar
Form 7	Report Form on Synopsis Seminar
Form 8	Report Form on PhD Defense Seminar
Form 9	Report Form on Thesis Submission

Form 1: Enrolment and Declaration Form

Photo

Program:	
Name of Student:	
Gender:	
Blood Group:	
Aadhar No.	
Current Correspondence Address:	
Permanent Address:	
Mobile No. (Self):	
Email:	
Name of Current Employer:	
Name of HR Manager/ Executive:	
Mobile No. of HR Manager/ Executive:	
Email of HR Manager/ Executive:	
Fees Payment:	Amount Paid:
	Transaction No.:

Academic Details:

Educational Qualification	Board	University/ Institute	Percentage/ CGPA (CPI)

Submitted copies of all the educational & NOC from the current employer: Yes/ No (attach self-attested copy of each document)

Date:

Place: Vadodara

Student's Signature

For Office Use**Checked and verified the form and found it complete in all respects details.**

Date:

Sign of the verifier

Form 2: Appointment of Supervisor

Program:	
Name of Student:	
Roll No.:	
Mobile No.:	
Email:	
Fees Payment:	Amount Paid:
	Transaction No.:
Name(s) of Supervisor(s):	

Dean (Academics) recommends the following supervisor (s) on having the consent from the respective faculty/ expert.

Name, Designation and Specialization of the Supervisor:	
Name, Designation and Specialization of the Co-Supervisor (if any): Please mention name of organization, experience, research credentials of the Co-Supervisor if he/she is from other organization (industry/ academia/ research laboratory etc.)	

Recommendation of Dean (Academics): (Signature of Dean-Academics)
Remarks by Vice Chancellor: Approved / Not Approved (Signature of Vice Chancellor)

Form 3: Course Registration Form

Program:	
Name of Student:	
Roll No.:	
Mobile No.:	
Email:	
Fees Payment:	Amount Paid:
	Transaction No.:
Name(s) of Supervisor(s):	

Courses Recommended by RAC:

Name of the Course	Registration Status (to be registered or completed)	Grade Obtained

(Signature of Student)
(Signature of Faculty Supervisor)
(Signature of RAC Members)
Remarks by Dean (Academics): Approved / Not Approved (Signature of Dean-Academics)

Form 4: Report Form on Comprehensive Exam/Viva-Voce

Program:	
Name of Student:	
Roll No.:	
Mobile No.:	
Email:	
Fees Payment:	Amount Paid:
	Transaction No.:
Name(s) of Supervisor(s):	

COMPREHENSIVE EXAM/VIVA-VOCE REPORT:

Date of Exam/ Viva-Voce:	
Number of Attempt	First/ Second
Brief comments on the Student's Performance: (if space is not sufficient, Please continue it on back side of this page)	
Result of the Comprehensive Exam:	Passed / Not Passed
If the result is <u>Not Passed</u> and if it is the first attempt, then mention the tentative date for the student to appear for the second attempt of comprehensive exam:	

(Signature of RAC Members)
Remarks by Dean (Academics): Approved / Not Approved
(Signature of Dean-Academics)

Form 5: Report Form on Semester Progress

Program:	
Name of Student:	
Roll No.:	
Mobile No.:	
Email:	
Fees Payment:	Amount Paid:
	Transaction No.:
Name(s) of Supervisor(s):	

SEMESTER PROGRESS REPORT:

Total Number of Semesters Completed in the PhD program:	
Assessment Period of Academic Progress (i.e. this progress report is submitted for which semester)	From (Month & Year): _____ To (Month & Year): _____
Date of Successful Completion of the Registration Seminar (if completed);	
Date of Last Semester Research Progress Review Seminar:	
During the Assessment Period (i.e. previous semester), Number of Seminars/ Conferences / Workshop attended: (Enclose the list and attach supporting documents)	
During the Assessment Period (i.e. previous semester), Number of Research Articles Published / Submitted for Publication from the PhD Research Work undertaken (Enclose the list):	
For this Semester Progress Seminar, Have you submitted a brief report to RAC on the research work carried out by you during the assessment period?	Yes / No (Enclose a copy for the reference of the RAC) Not required for the Academic Section

(Signature of Student)
(Signature of Faculty Supervisor)
(Signature of RAC Members)
Remarks by Dean (Academics): Approved / Not Approved (Signature of Dean-Academics)

Form 6: Report Form on Registration Seminar

Part-A: To be filled by the Student (*before Registration Seminar*) & duly forwarded by Supervisor(s)

Program:	
Name of Student:	
Roll No.:	
Mobile No.:	
Email:	
Fees Payment:	Amount Paid:
	Transaction No.:
Name(s) of Supervisor(s):	

Date of Registration Seminar:	
Area / Topic of Research:	
Literature Review/ Survey:	Done / Not Done
Research Problem Formulated If Yes, enclose a brief description of the formulated research problem. If No, state the reasons.	Yes / No

Date:

Signature of the Student

Forwarded to the RAC

Date:

Signature(s) of Supervisor(s)

The RAC is requested to write their assessment on the Registration Seminar in Part B

Part-B: To be filled by the RAC (After the Registration Seminar)

1. Name of the Student :

2. Roll Number :

3. Date of the Registration Seminar :

4. Area / Topic of Research :

5. Literature Review/ Survey : Satisfactory / Not Satisfactory

6. Research Problem Formulated : Yes / No

7. Brief comments on the Student's Performance in the Registration Seminar: (if space is not sufficient, Please write it on a separate sheet & attach)

(Signature of RAC Members)

Remarks by Dean (Academics): Approved / Not Approved

(Signature of Dean-Academics)

Note: This form is to be submitted by the RAC within 3 working days from the date of Registration.

After signature of the Dean (Academics), the original is to be kept in the personal file of the student in the Academic Office and a photocopy/ scanned copy is to be sent to the Supervisor(s) and to the Dean (Academics).

Form 7: Report Form on Synopsis Seminar

Part-A: To be filled by the Student (*before the Synopsis Seminar*) & duly forwarded by Supervisor(s)

Program:	
Name of Student:	
Roll No.:	
Mobile No.:	
Email:	
Fees Payment:	Amount Paid:
	Transaction No.:
Name(s) of Supervisor(s):	

Date of Registration Seminar:	
Ph.D. Thesis Title:	
From the Ph.D. Thesis Work, the Number of Research Articles/ Papers: (Enclose the list)	Peer-reviewed (SCI/SCOPUS indexed) journals: _____ Conference Proceedings: _____

Have you submitted Synopsis of Ph.D. Thesis to RAC?

(Synopsis is to be submitted to RAC one week before the date of synopsis seminar)

Yes / No

I will submit my PhD thesis within 2 months from the date of Synopsis Seminar/ Approval of Synopsis by the RAC.

Date:

Signature of the Student

Forwarded to the RAC

Date:

Signature(s) of Supervisor(s)

The RAC is requested to write their assessment on Synopsis Seminar on Page No.2

Part-B: To be filled by the RAC (After the Synopsis Seminar)

1. Name of the Student :

2. Roll Number :

3. Date of Synopsis Seminar :

4. Brief comments on Ph.D. Thesis Work carried out by the Student: (If the space is not sufficient, please write it in a separate sheet & attach)

5. Ph.D. Thesis Work carried out by the Student: Satisfactory / Not Satisfactory

6. The RAC has gone through the Synopsis of Ph.D. Thesis submitted by the Student and makes the following recommendations: (Put a tick mark ✓ in the appropriate boxes)

☐

Synopsis of Thesis is approved
and permission is granted to
submit it

☐

Synopsis of Thesis is NOT approved. **Suggestions
are given to improve thesis work and revise
Synopsis of Thesis accordingly. Student should
present another Synopsis Seminar within
..... months.**

(Please enclose a copy of the Synopsis of Thesis duly approved by the RAC)

(Signature of RAC Members)

Remarks by Dean (Academics): Approved / Not Approved

(Signature of Dean-Academics)

Form 8: Report Form on Ph.D. Defense (Viva-Voce) Seminar

Program:	
Name of Student:	
Roll No.:	
Mobile No.:	
Email:	
Fees Payment:	Amount Paid:
	Transaction No.:
Name(s) of Supervisor(s):	

Date of Registration Seminar:	
Ph.D. Thesis Title:	
Date of Ph.D. Defense (Viva-Voce):	

RECOMMENDATIONS

The Viva-Voce Board evaluated the PhD thesis of the candidate taking into account the queries/doubts raised by the external examiners and recommend that (tick one):

1. The thesis in its present form is commended for the award of the PhD degree	☐	
2. The thesis is commended for the award of the PhD degree. However, suggestions for improving the thesis based on the discussions during the oral examination and detailed in a separate sheet be incorporated in the thesis.	☐	
3. The thesis is not acceptable for the award of the PhD degree	☐	

VIVA-VOCE BOARD

Sl. No.	Name	Designation	Signature
1.		Chairman (Chairman of RAC)	
2.		Member (External Examiner)	
3.		RAC Member	
4.		RAC Member	
5.		RAC Member (Thesis Supervisor 1)	
6.		RAC Member (Thesis Supervisor 2)	
7.		Dean (Academics)	

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Dean (Academics)

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